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| SUBSTITUTE FORM PTO-1449 (MODIFIED) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. EIE-064DV | | SERIAL NO. 10/797,552 | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | | | APPLICANT Aloise et al. | | FILING DATE March 10, 2004 | |
| (37 CFR 1.98(b)) | | | | GROUP Unknown | | | |

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| EXAMINER INITIAL | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | | | | | |
| MJ | A.A | 3 1 7 4 8 5 1 | 3/23/1965 | Buehler et al. | 75 | 170 | | | | | |
| | A.B | 3 3 5 1 4 6 3 | 11/7/1967 | Rozner et al. | 75 | 170 | | | | | |
| | A.C | 4 8 8 8 8 6 3 | 12/26/1989 | Cox et al. | 29 | 156.8 B | | | | | |
| | A.D | 5 0 4 4 9 4 7 | 9/3/1991 | Sachdeva et al. | 433 | 20 | | | | | |
| | A.E | 5 4 2 9 5 0 1 | 7/4/1995 | Farzin-Nia et al. | 433 | 21 | | | | | |
| | A.F | 5 6 5 5 9 5 0 | 8/12/1997 | Heath et al. | 451 | 48 | | | | | |
| | A.G | 5 7 6 2 5 4 1 | 6/9/1998 | Heath et al. | 451 | 48 | | | | | |
| | A.H | 5 8 5 7 8 5 2 | 1/12/1999 | Garman | 433 | 102 | | | | | |
| | A.I | 5 8 8 2 1 9 8 | 3/16/1999 | Taylor et al. | 433 | 102 | | | | | |
| | A.J | 5 9 4 1 7 6 0 | 8/24/1999 | Heath et al. | 451 | 48 | | | | | |
| MJ | A.K | 5 9 6 4 7 7 0 | 10/12/1999 | Flomenblit et al. | 606 | 78 | | | | | |

| FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS | | | | | | | |
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| MJ | A.L | EP 0 287 267 | 19 October 1988 | EP | D2D1 | | |
| MJ | A.M | WO 99 37235 | 29 July 1999 | WO | A61C | | |
| | A.N | | | | | | |
| | A.O | | | | | | |
| | A.P | | | | | | |
| | A.Q | | | | | | |

| OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) | | |
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| MJ | A.R | Hodgson et al., <u>Shape Memory Alloys</u> , ASM Handbook, Vol. 2, Properties and Selection: Nonferrous Alloys and Special-Purpose Materials, pps. 897-902, 1992 |
| MJ | A.S | Tobushi et al., <u>Recovery Stress Due to R-Phase Transformation in Ni-Ti Shape Memory Alloy</u> , Proceedings of First Int'l Conf. on Shape Memory and Superelastic Technologies, Asilomar Conference Ctr, Pacific Grove, CA, USA, pgs. 163-168, 1994 |
| MJ | A.T | McSpadden et al., <u>Endodontic Instrument</u> , US 2002/0137008 A1, Publication Date 09/26/2002, Filed 12/17/2001, U.S. Class 433/102 |

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EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to Applicant.

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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | | | APPLICANT Aloise et al. | | | |
| | | | | FILING DATE March 10, 2004 | | GROUP Unknown | |

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(37 CFR 1.98(b))

| U.S. PATENT DOCUMENTS | | | | | | | | | | | |
|-----------------------|---------------|---------------|------------|-------------------|----------|----------------------------|------------|--|--|--|--|
| EXAMINER INITIAL | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | | | | | |
| MJ | B.A | 5 9 8 4 6 7 9 | 11/16/1999 | Farzin-Nia et al. | 433 | 102 | 9/26/1997 | | | | |
| | B.B | 6 0 3 6 7 0 8 | 3/14/2000 | Sciver | 606 | 159 | 8/13/1998 | | | | |
| | B.C | 6 1 3 1 5 7 9 | 10/17/2000 | Thorson et al. | 128 | 898 | 4/21/1998 | | | | |
| | B.D | 6 1 4 9 5 0 1 | 11/21/2000 | Farzin-Nia et al. | 451 | 48 | 1/27/1998 | | | | |
| | B.E | 6 1 5 8 3 0 4 | 12/12/2000 | Packer et al. | 76 | 104 | 5/21/1997 | | | | |
| | B.F | 6 1 6 5 2 1 0 | 12/26/2000 | Lau et al. | 623 | 1.12 | 4/1/1994 | | | | |
| | B.G | 6 2 9 3 0 2 0 | 9/25/2001 | Julien | 30 | 350 | 2/14/1997 | | | | |
| | B.H | 6 2 9 9 4 4 5 | 10/9/2001 | Garman | 433 | 102 | 4/8/1999 | | | | |
| | B.I | 6 3 1 5 5 5 8 | 11/13/2001 | Farzin-Nia et al. | 433 | 102 | 10/26/1999 | | | | |
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| | B.K | | | | | | | | | | |

| FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS | | | | | | | |
|--|------------------|--------------------------|-------|----------|----------------------|--|--|
| DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION (YES/NO) | | |
| B.L | | | | | | | |
| B.M | | | | | | | |
| B.N | | | | | | | |
| B.O | | | | | | | |
| B.P | | | | | | | |
| B.Q | | | | | | | |

| OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) | |
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| MJ | B.R Aloise et al., <u>Method of Manufacturing an Endodontic Instrument</u> , US 2003/0199236 A1, Publication Date 10/23/2003, Filed 04/18/2002; U.S. Class 451/48. |
| | B.S |
| | B.T |

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